

Substitute for form 1449 PTO				<i>Complete if Known</i>	
				Application Number	09/869,205
				Filing Date	September 17, 2001
				First Named Inventor	David Sadek et al.
				Art Unit	2121
				Examiner Name	Michael B. Holmes
Sheet	1	of	2	Attorney Docket Number 3340.05US01	
NON PATENT LITERATURE DOCUMENTS					
EXAMINER INITIAL [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
<i>MSH</i>		D. Sadek. Dialogue acts are rational plans. Proceedings ESCA Tutorial and Research Workshop on the Structures of Multimodal Dialogue, Maratea, Italy, 1991.			
<i>MBH</i>		Sadek, M.D. A study in the logic of intention. Proceedings of the 3rd Conference on Principles of Knowledge Representation and Reasoning (KR'92), pages 462-473, Cambridge, MA, 1992.			
<i>MBH</i>		Sadek, M.D. [translated from French] Foundations of dialogue: Rational interaction. Proceedings of the 4th summer school on Natural Language Processing, pages 229-255, Lannion, France, 1993.			
<i>MBH</i>		Sadek, M.D. [translated from French] Mental attitudes and foundation of cooperative behavior. Pavard, B., editor, Cooperative systems: of modeling the design, Octares Eds., pages 93-117, 1994.			
<i>MBH</i>		Sadek, M.D. Communication theory = rationality principles + communicative act models. Proceedings of the AAI'94 Workshop on planning for Interagent Communication, Seattle, WA, 1994.			
<i>MBH</i>		Sadek, M.D. Towards a theory of belief reconstruction: Application to communication. In (SPECOM94): 251-263.			
<i>MBH</i>		Sadek, M.D., Ferrieux, A., & Cozannet, A. Towards an artificial agent as the kernel of a spoken dialogue system: A progress report. Proceedings of the AAI'94 Workshop on Integration of Natural Language and Speech Processing, Seattle, WA, 1994.			
<i>MBH</i>		D. Sadek, P. Bretier, V. Cadoret, A. Cozannet, P. Dupont, A. Ferrieux, & F. Panaget: A cooperative spoken dialogue system based on a rational agent model: A first implementation on the AGS application. Proceedings of the ESCA Tutorial and Research Workshop on Spoken Language Systems, Hanstholm, Denmark 1995.			
EXAMINER SIGNATURE	<i>Michael B. Holmes</i>			DATE CONSIDERED	<i>03/09/06</i>
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</p>					
<i>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</i>					

Substitute for form 1449 PTO				Complete if Known		
				Application Number	09/869,205	
				Filing Date	September 17, 2001	
				First Named Inventor	David Sadek et al.	
				Art Unit	2121	
				Examiner Name	Michael B. Holmes	
Sheet	2	of	2	Attorney Docket Number	3340.05US01	
NON PATENT LITERATURE DOCUMENTS						
EXAMINER INITIAL ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T ²
<i>M/BH</i>		M.D. Sadek, P. Bretier, & F. Panaget. ARTIMIS: Natural Dialogue Meets Rational Agency. Proceedings 15th International Joint Conference on Artificial Intelligence (IJCAI'97), Nagoya, Japan, pp. 1030-1035, 1997.				
<i>M/BH</i>		Sadek, M.D. [translated from French] Mental attitudes and rational interaction: Toward a formal theory of communication. Computer Doctorate thesis, University of Rennes I, France, 1991.				
<i>M/BH</i>		P. Bretier, F. Panaget, & D. Sadek. Integrating linguistic capabilities into the formal model of a rational agent: Application to cooperative spoken dialogue. Proceedings of the AAAI'95 Fall Symposium on Rational Agency, Cambridge, MA, 1995.				
<i>M/BH</i>		P. Bretier & D. Sadek. Designing and implementing a theory of rational interaction to be the kernel of a cooperative spoken dialogue system. Proceedings of the AAAI'95 Fall Symposium on Rational Agency, Cambridge, MA, 1995.				
<i>M/BH</i>		P. Bretier. [translated from French] The cooperative oral communication: Contribution to the logical modeling and implementation of a conversational rational agent. Computer Science Doctoral Thesis, University of Paris XIII, 1995.				
<i>M/BH</i>		Bretier, P. and M.D. Sadek. A rational agent as a kernel of a cooperative dialogue system: Implementing a logical theory of interaction. ECAI'96 Workshop on Agent Theories, Architectures, and Languages, Budapest, Hungary, pp. 189-203, 1997.				
<i>M/BH</i>		Sadek, M.D., Ferrieux A., Cozannet A., Bretier P., Panaget F., & Simonin J. Effective human-computer cooperative spoken dialogues: The AGS demonstrator. In (ISSD 96) (and also Proceedings ICSLP'96 of, Philadelphia, 1996).				
<i>M/BH</i>		Conseil Scientifique de France Telecom, Technical Memo No. 8: Smart Interfaces and Images, October 1996, page 37-61.				
EXAMINER SIGNATURE	<i>M/BH</i>			DATE	<i>03/09/06</i>	
CONSIDERED						
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</p>						
<p>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</p>						